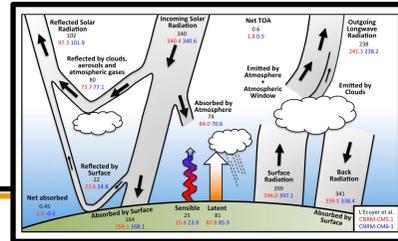


Harnessing machine learning to quantify the CNRM-CM6-1 climate model parametric and structural errors

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1. Climate model calibration

Climate model = a software

- + external forcings
- + horizontal/vertical grids
- + a scientific content (i.e., fluid dynamics equations, parameterizations)
- + values for model internal/uncertain parameters >> **calibration**

$$\frac{\partial x}{\partial t} = \mathcal{D}(x) + \sum_p \mathcal{P}_p(x, \lambda_p)$$

Calibration (or tuning)

- Common to most modelling frameworks
- Can be seen as an optimisation procedure under constraints (or **metrics**), possibly with priorities.
- Need for high-quality **references/observations**, with well-quantified uncertainties
- Typically, +1 W m⁻² at TOA ~ +0.5–1.5 K of global mean near-surface temperature
➢ Given current uncertainties, present-day global-mean temperature in a climate model is mostly a result of tuning.

A bottleneck for climate model development

- **High dimensionality** of the parameter space ~O(10)
- Climate model numerical simulations are **computationally expensive**
➢ An exhaustive exploration of the parameter space is not directly possible.
- Large number and variety of metrics O(10-100+), sometimes subjective
- **Overfitting** issue, treatment of **uncertainties**

Calibration of CNRM-CM6-1 (Voldoire et al. 2019, Roehrig et al. 2020)

- Manual calibration, 1 or 2 parameters at the same time, mixing well-defined metrics and more subjective considerations
- Calibration of stand-alone components before coupling, priorities among metrics
- Often questioning the model physical content. But difficult to disentangle true model structural limits from “just” a poor calibration?

2. Framework

- Define targeted (scalar) **metrics** f , their **reference values** r_f and associated **uncertainties** $\sigma_{r,f}$
- Identify the relevant model **parameters** λ , and their “acceptable” range >> **input parameter space** Λ
- Define a simulation strategy, build an experimental design, run simulations >> **learning dataset**
- Emulate** $f(\lambda)$ for each metric (Gaussian Processes)
- Identify the sub-space of Λ which is compatible with references for all metrics
>> **Not-Ruled-Out-Yet – NROY – space**

- considering
- The reference uncertainty
 - The emulator uncertainty
 - The model structural error (tolerance to error) $\sigma_{d,f}$
>> **Implausibility** measure I_f , **cutoff** T

$$I_f(\lambda) = \frac{|r_f - \mathbb{E}[f(\lambda)]|}{\sqrt{\sigma_{r,f}^2 + \sigma_{d,f}^2 + \text{Var}[f(\lambda)]}}$$

$$\text{NROY}_f^1 = \{\lambda \mid I_f(\lambda) < T\}$$

$$\text{NROY}^1 = \bigcap_f \text{NROY}_f^1 = \{\lambda \mid I_f(\lambda) < T, \text{ for all } f\}$$

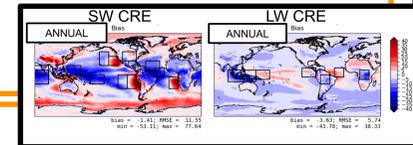
- Iterate over several waves to reduce the emulators' uncertainty in NROY^{N-1} , until convergence

Couvreux et al. (2020), Hourdin et al. (2020) and reference therein

i. 3 classes of metrics

- **Global averages of the energy budget components**
 - at TOA: OLR, OSR, Net, SW/LW CRE / at the surface ocean: Net, SWdn, LWdn
 - Values from CERES-EBAF, uncertainties based on the literature
 - Except Net at surface/TOA = 0 +/- 0.1 W m⁻²: the model has to be equilibrated.
 - Tolerance to error: 0.5 W m⁻²
- **Zonally average profiles** of SW/LW CRE + Temperature at 200 hPa
 - SW/LW CRE: CERES-EBAF with uncertainty of 2 W m⁻², tol. of 1 W m⁻²
 - T200: based on ERA5/JRA55/MERRA/CFRS ensemble mean and std, tol. 1.5 K
- **Regional and seasonal averages** of SW/LW CRE and precipitation
 - SW/LW CRE: CERES-EBAF, uncertainty of 2 W m⁻², tolerance of 5 W m⁻²
 - Precipitation: MSWEP/GPCP/TRMM 3B42 ensemble mean and std, tol. between 0.5 and 1 mm day⁻¹

>> **63 metrics**



ii. 46 parameters

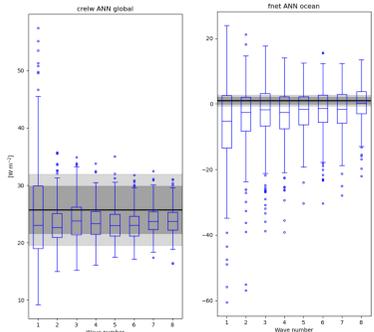
- 7 from turbulence (TKE scheme + PBL-top entrainment)
- 16 from microphysics (1-moment, 5 hydrometeors)
- 19 from the unified dry, shallow and deep convection scheme
- 4 from cloud radiative properties (heterogeneity)

iii. Waves of 400 simulations

- 1-year **sstclim** simulations + 3-month spin-up
- sstclim vs amip correction of the reference target
- Consideration of **internal variability uncertainty** based on a 100-year sstclim simulation with CNRM-CM6-1.
- Latin Hypercube sampling for 1st wave

3. Toward a new calibration of CNRM-CM6-1

Global LW CRE at TOA Ocean net energy flux



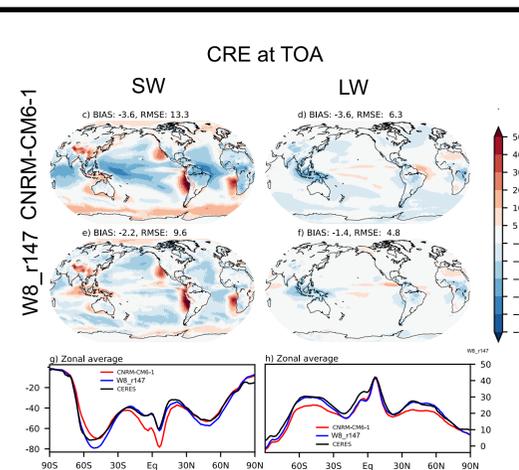
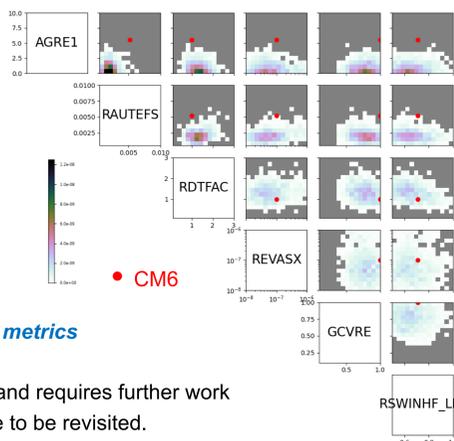
Unfortunately, none of Wave 1-8 simulations fulfils all the metrics

- Convergence is not yet achieved
- Appropriate sampling of small NROY spaces is difficult and requires further work
- Some tolerances to error are likely too weak and require to be revisited.

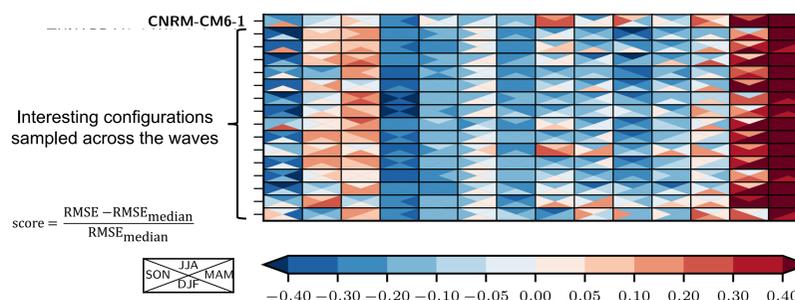
Nevertheless

- A few simulations fulfil all the metrics but one (for a cutoff of 3)
- A few have interestingly low RMSEs for targeted variables

NROY⁸ density within input parameter space For some of the dominant parameters



Relative score within the CMIP5 ensemble



$$\text{score} = \frac{\text{RMSE} - \text{RMSE}_{\text{median}}}{\text{RMSE}_{\text{median}}}$$

4. Conclusions

➢ A better calibration of CNRM-CM6 can be achieved

- Several mean state features are **improved or of similar quality**
- Some errors truly **structural**: clouds/radiation over eastern part of ocean basins, upper-tropospheric temperatures
- Some **trade-offs** are required

➢ History matching with iterative refocussing

- Provides a **relevant and efficient framework for model calibration in the presence of uncertainties**
- Can help accelerate model development by comparing calibrated model version
➢ Assessing the true added value of a new development
- Helps better **identify and quantify model structural errors**, and thereby helps focus on bias understanding/model development
- **Overall questions the scientific content of a climate model**

➢ Next steps

- Play with tolerances to error to better identify/quantify model **structural errors** and trade-offs
- Add new metrics, e.g., variability: can we get both mean state and variability right?
- **Pre-conditioning** with cheaper model configurations, e.g., 1D/LES for preserving process-level performance (Couvreux et al. 2020, Hourdin et al. 2020).
- Towards calibration of **ocean-atmosphere coupled configurations**
- Develop **physical interpretations** of what is happening in the calibration process.

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